

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
CENTRAL FAX CENTER

MAR 19 2004

OFFICIAL

Applicant : Klaus Gottschall  
Title : Method of Producing Derivatized Polymers  
Application No. : 09/856,981  
Filing Date : October 12, 2001  
Examiner : Bernard Lipman  
Group Art Unit : 1713  
Attorney Docket : 280798610040

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

AMENDMENT

This Amendment is in response to the Final Office Action mailed November 19, 2003. This Amendment is accompanied by a Petition for Extension of Time and a Request for Continued Examination.

In view of the Office Action, please amend the application as follows.

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office (Fax No. 703-872-9306) on 3/19/2004.

*Mitchell Rose*

Mitchell Rose

PTO/SB/17 (10-03)

Approved for use through 07/31/2008. OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 440.00)

## Complete if Known

Application Number	09/856981
Filing Date	10/12/2001
First Named Inventor	GOTTSCHALL
Examiner Name	Bernard Lipman
Art Unit	1713
Attorney Docket No.	280798610040

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:

Deposit Account Number 50-1432

Deposit Account Name Jones Day

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	385.00
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ 385.00)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 85	1052 50	2052 25	Surcharge - late filing fee or oath	
1053 130	2053 130	1812 2,520	2812 2,520	Surcharge - late provisional filing fee or cover sheet	
1812 2,520	2812 2,520	1804 920*	2804 920*	Non-English specification	
1804 920*	2804 920*	1805 1,840*	2805 1,840*	For filing a request for ex parte reexamination	
1805 1,840*	2805 1,840*	1251 110	2251 55	Requesting publication of SIR prior to Examiner action	
1251 110	2251 55	1252 420	2252 210	Requesting publication of SIR after Examiner action	55.00
1252 420	2252 210	1253 950	2253 475	Extension for reply within first month	
1253 950	2253 475	1254 1,480	2254 740	Extension for reply within second month	
1254 1,480	2254 740	1255 2,010	2255 1,005	Extension for reply within third month	
1255 2,010	2255 1,005	1401 330	2401 165	Extension for reply within fourth month	
1401 330	2401 165	1402 330	2402 165	Extension for reply within fifth month	
1402 330	2402 165	1403 290	2403 145	Notice of Appeal	
1403 290	2403 145	1451 1,510	2451 1,510	Filing a brief in support of an appeal	
1451 1,510	2451 1,510	1452 110	2452 55	Request for oral hearing	
1452 110	2452 55	1453 1,330	2453 665	Petition to institute a public use proceeding	
1453 1,330	2453 665	1501 1,330	2501 665	Petition to revive - unavoidable	
1501 1,330	2501 665	1502 480	2502 240	Petition to revive - unintentional	
1502 480	2502 240	1503 640	2503 320	Utility issue fee (or reissue)	
1503 640	2503 320	1460 130	2460 130	Design issue fee	
1460 130	2460 130	1807 50	2807 50	Plant issue fee	
1807 50	2807 50	1808 180	2808 180	Petitions to the Commissioner	
1808 180	2808 180	6021 40	8021 40	Processing fee under 37 CFR 1.17(q)	
6021 40	8021 40	1809 770	2809 385	Submission of Information Disclosure Stmt	
1809 770	2809 385	1810 770	2810 385	Recording each patent assignment per property (times number of properties)	
1810 770	2810 385	1801 770	2801 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1801 770	2801 385	1802 900	2802 900	For each additional invention to be examined (37 CFR 1.129(b))	
1802 900	2802 900			Request for Continued Examination (RCE)	
				Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 55.00)

## SUBMITTED BY

Name (Print/Type)	Mitchell Rose	Registration No. (Attorney/Agent)	47906	Telephone	216-586-7094
Signature	<i>Mitchell Rose</i>	Date	03/19/2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

**Facsimile Transmission**

North Point, 901 Lakeside Avenue • Cleveland, Ohio 44114-1190 • (216) 586-3939  
Facsimile: (216) 579-0212

**RECEIVED**  
**CENTRAL FAX CENTER**

Date: 3/19/2004

MAR 19 2004

Please hand deliver the following facsimile to:

Name: **Examiner Bernard Lipman**Company : **U. S. Patent & Trademark Office**

Telephone No.:

Send Copies To:

**OFFICIAL**Facsimile No.: **703-872-9306**Number of pages (including this page): **14**From: **Mitchell Rose, Ph.D., Patent Agent**Direct Telephone No.: **216-586-7094**JP No.: **000488**CAM No.: **280798-610040**☐ **Copies distributed**

Operator's Initials

**NOTICE:** This communication is intended to be confidential to the person to whom it is addressed, and it is subject to copyright protection. If you are not the intended recipient or the agent of the intended recipient or if you are unable to deliver this communication to the intended recipient, please do not read, copy or use this communication or show it to any other person, but notify the sender immediately by telephone at the direct telephone number noted above.

**Message:**

This is an Official Communication to Examiner Bernard Lipman from Mitchell Rose (Patent Agent, Reg. No. 47,906) for U.S. Patent Application No. 09/856,981.

Please confirm receipt of this facsimile with a brief informal note to [mrose@jonesday.com](mailto:mrose@jonesday.com).

Please call Mitchell Rose at 216-586-7094 if the facsimile is incomplete or illegible.

C:\Wrddata\Fax Transmittals\Fax Transmittal Form to Exr Lipman.DOC

Jones, Day, Reavis & Pogue